

Protocol Design for Surveillance of Risk Factors of Non-communicable Diseases During the COVID-19 Pandemic: An Experience from Iran STEPS Survey 2021

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Supplementary File 2. The details of the weighting procedure of the cleaned data of Iran STEPS survey 2021.

The weighting procedure after cleaning the gathered data was done in four stages in this survey as follows:

1. Weighting for overall non-response:

The weighting procedure was done in this stage to resolve the problem of individuals who refused to participate in the survey when substituting someone else was not possible because it would make the sex and age structure of the included population heterogenous. In this regard, to cover the non-response problem (after what was done for non-response during the sample size calculation process), weighting was done after the gathered data was cleaned. Regarding the COVID-19 outbreak during the implementation phase of this survey, the total number of samples in each cluster of the sampling survey was 10 before the outbreak and 9 for the phase happening during the pandemic. Calculation of the non-response weighting for the first and second steps of the study, which recruited samples aged ≥ 18 years old, was conducted using the following equations of (#3) and (#4):

$$(\#3) W_{\text{individual nonresponse questionnaire}} = \frac{10 \text{ or } 9}{\text{number of sample in cluster}}$$

$$(\#4) W_{\text{individual nonresponse anthropometry}} = \frac{10 \text{ or } 9}{\text{number of sample in cluster}}$$

Regarding the third step of the survey, which recruited individuals aged ≥ 25 years, since the expected number of participants with this condition was known in the sampling frame of the study, we used the proportion of the individuals aged at least 25 years to estimate the total number of participants in this step and also the non-response weighting according to equation (#5):

$$(\#5) W_{\text{individual nonresponse laboratory}} = \frac{\text{People over the age of 25 that we expect to be included in the study.}}{\text{number of sample in cluster}}$$

2. Weighting for non-response in each step:

This stage was done for weighting the data for the specific non-response for each step of the survey including the questionnaires, anthropometry (physical measurements), and laboratory measurements. In cases where the participants refused to answer a part of the questionnaires, the weighting was done based on equation (#6) to prevent data loss and measurement bias:

$$(\#6) W_{\text{questionnaire nonresponse}} = \frac{\text{number of sample}}{\text{number of sample in cluster with complete questionnaire}}$$

In cases where the participant refused to take part in physical measurements, weighting was done using equation (#7):

$$(\#7) W_{\text{anthropometry nonresponse}} = \frac{\text{number of sample}}{\text{number of sample in cluster with complete anthropometry}}$$

For the third step of the survey, weighting was done for those who refused to take part in laboratory measurements and also for the participants aged under 25 years since the data of the third step was absent for them. The weighting for this purpose was done using equation (#8):

$$(\#8) W_{\text{laboratory test nonresponse}} = \frac{\text{number of samples eligible for laboratory step}}{\text{number of sample in cluster with complete laboratory test}}$$

3. Weighting for samples of each province based on age, sex, and area of residence:

This stage of weighting was done to make the included population in each province representative of that province regardless of individual-specific features like age, sex, and area of residence (urban/rural). This stage of weighting was done using the proportion of the number of the population in a selected combination of the mentioned characteristics to the expected number of individuals in samples of each combination of the same using the equations (#9), (#10), and (#11):

$$(\#9) W_{\text{province questionnaire}} = \frac{\text{population}_{(\text{province,age,sex,area})}}{\text{sum}(W_{\text{questionnaire nonresponse}} * W_{\text{individual nonresponse}})_{(\text{province,age,sex,area}) \text{ questionnaire}}}$$

$$(\#10) W_{\text{province anthropometry}} = \frac{\text{population}_{(\text{province,age,sex,area})}}{\text{sum}(W_{\text{anthropometry nonresponse}} * W_{\text{individual nonresponse}})_{(\text{province,age,sex,area}) \text{ anthropometry}}}$$

$$(\#11) W_{\text{province laboratory}} = \frac{\text{population}_{(\text{province,age,sex,area})}}{\text{sum}(W_{\text{laboratory nonresponse}} * W_{\text{individual nonresponse}})_{(\text{province,age,sex,area}) \text{ laboratory}}}$$

Considering the possible regional differences in age and sex combinations in each province with the population, a further round of adjustments was done using the equation (#12):

$$(\#12) W_{\text{adjust}} = \frac{\text{Percentage of sample by age,sex,region,and province}}{\text{Percentage of population by age,sex,region,and province}}$$

4. Summarizing and incorporating all weightings into data:

All previously mentioned weightings were summarized in the following equations and added to the gathered and cleaned data of each step of the questionnaires (#13), anthropometry (#14), and laboratory measurements (#15):

$$(\#13) W_{\text{Questionnaire}} = W_{\text{questionnaire nonresponse}} * W_{\text{individual nonresponse}} * W_{\text{province questionnaire}} * W_{\text{adjust questionnaire}}$$

$$(\#14) W_{\text{Anthropometry}} = W_{\text{anthropometry nonresponse}} * W_{\text{individual nonresponse}} * W_{\text{province anthropometry}} * W_{\text{adjust anthropometry}}$$

$$(\#15) W_{\text{Laboratory}} = W_{\text{laboratory nonresponse}} * W_{\text{individual nonresponse}} * W_{\text{province laboratory}} * W_{\text{adjust laboratory}}$$

The final data after the weighting stages underwent analysis for different variables and indices according to the predefined study aims. Also, they will be used for further investigations in future publications.